Black hole masses of tidal disruption event host galaxies

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ABSTRACT

The mass of the central black hole in a galaxy that hosted a tidal disruption event (TDE) is an important parameter in understanding its energetics and dynamics. We present the first homogeneously measured black hole masses of a complete sample of 12 optically/UV-selected TDE host galaxies (down to $g_{\text{host}} \leq 22 \text{ mag}$ and z = 0.37) in the Northern sky. The mass estimates are based on velocity dispersion measurements, performed on late time optical spectroscopic observations. We find black hole masses in the range of $3 \times 10^5 \text{ M}_{\odot} \le M_{\text{BH}} \le 2 \times 10^7 \text{ M}_{\odot}$. The TDE host galaxy sample is dominated by low-mass black holes ($\sim 10^6 \text{ M}_{\odot}$), as expected from theoretical predictions. The blackbody peak luminosity of TDEs with $M_{\rm BH} \le 10^{7.1} \, {\rm M_{\odot}}$ is consistent with the Eddington limit of the supermassive black hole (SMBH), whereas the two TDEs with $M_{\rm BH} \ge 10^{7.1} {\rm M}_{\odot}$ have peak luminosities below their SMBH Eddington luminosity, in line with the theoretical expectation that the fallback rate for $M_{\rm BH} \ge 10^{7.1} {\rm M}_{\odot}$ is sub-Eddington. In addition, our observations suggest that TDEs around lower mass black holes evolve faster. These findings corroborate the standard TDE picture in 10^6 M_{\odot} black holes. Our results imply an increased tension between observational and theoretical TDE rates. By comparing the blackbody emission radius with theoretical predictions, we conclude that the optical/UV emission is produced in a region consistent with the stream self-intersection radius of shallow encounters, ruling out a compact accretion disc as the direct origin of the blackbody radiation at peak brightness.

Key words: accretion, accretion discs – galaxies: bulges – galaxies: fundamental parameters – galaxies: kinematics and dynamics – galaxies: nuclei.

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1 INTRODUCTION

It is currently accepted that supermassive black holes (SMBHs) reside in the centres of most, if not all, massive galaxies (e.g. Kormendy & Richstone 1995). If there is gas close to the hole, its accretion has directly observable signatures and we designate the centre an active galactic nucleus (AGN). However, if there is no gas near the SMBH, indirect methods must be used to infer its presence. Occasionally, a reservoir of gas may wander near the black hole (BH) in the form of a star. If the tidal forces due to the SMBH are larger than the self-gravity of the star, the SMBH will tear it apart, and about half of the star will be accreted by the cen-

tral BH (Rees 1988; Evans & Kochanek 1989; Phinney 1989). This so-called tidal disruption of a star is accompanied by a luminous flare at X-ray, UV or optical wavelengths, announcing the presence of an otherwise dormant SMBH to the Universe.

In the last two decades, about two dozen tidal disruption events (TDEs) have been discovered in various wavelength regimes such as X-rays (Donley et al. 2002; Komossa 2002; Cenko et al. 2012; Maksym et al. 2013), UV (Gezari et al. 2008, 2009) and optical light (van Velzen et al. 2011; Gezari et al. 2012; Arcavi et al. 2014; Chornock et al. 2014; Holoien et al. 2016a). From an observational point of view, there seem to be two broad classes of TDEs: those where X-ray (or even higher energy) emission was detected and those where optical emission was detected. It should be noted that not all optical TDEs were followed up at X-ray wavelengths, which may partially explain this apparent dichotomy. Two exceptions are

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already known, including ASASSN–150i (Holoien et al. 2016b) and ASASSN–14li, which was detected not only at optical (Holoien et al. 2016a) and X-ray (Miller et al. 2015) wavelengths but was also observed to produce radio emission (Alexander et al. 2016; van Velzen et al. 2016).

In the classical picture of TDEs, the electromagnetic radiation is produced when the bound debris circularizes and falls back to the SMBH (Rees 1988; Phinney 1989). An accretion disc forms at a radius of about 2 $R_{\rm p}$, where $R_{\rm p}$ is the pericentre radius of the orbit of the disrupted star. The disc forms rapidly and efficiently circularizes due to stream-stream collisions induced by relativistic precession. While this scenario is able to explain the properties of TDEs producing X-rays, the temperatures and luminosities of optical TDEs are an order of magnitude lower than theoretical predictions (van Velzen et al. 2011). Several scenarios have been proposed to explain the optical emission mechanism of TDEs, including thermal reprocessing of accretion power by material far from the hole (Loeb & Ulmer 1997; Guillochon, Manukian & Ramirez-Ruiz 2014), shock emission produced by the self-intersecting debris stream (Piran et al. 2015) or outflows (Strubbe & Quataert 2009; Miller 2015; Metzger & Stone 2016; Stone & Metzger 2016). More recently, the effect of magnetic stresses on the stream dynamics have also been considered (Bonnerot, Rossi & Lodato 2017). A theoretical framework that can explain the dynamics and energetics of both X-ray and optical emission from TDEs has yet to converge towards a unified theory.

Observational studies of TDEs are critical to provide meaningful constraints on key ingredients for theoretical models, such as the dynamical efficiency of stream circularization, the primary TDE power source and the dominant emission mechanisms. Because of the twobody nature of a TDE, constraining the mass of the BH component helps to disentangle other aspects of the events, including the dynamics and energetics. For instance, the tidal radius of the disrupted star, the energetics of the accretion phase, the post-disruption dynamics and the expected electromagnetic (and gravitational wave) emission all depend on the BH mass. Constraining the BH mass can also provide direct constraints on the accretion efficiency or the amount of mass accreted during a TDE. Currently, the mass of the BH is usually inferred from modelling rather than used as an input parameter because no accurate, systematic measurements are available.

Constraining the mass of a BH in the centre of a galaxy has a rich history [see Ferrarese & Ford (2005) for a review]. The discovery of correlations between the bulge luminosity and mass (the M-L relation; e.g. Dressler 1989; Kormendy & Richstone 1995) or bulge velocity dispersion and mass (the $M-\sigma$ relation; e.g. Ferrarese & Merritt 2000; Gebhardt et al. 2000) indicate that there is a tight connection between the evolution and formation of the SMBH and the stellar bulge (Kormendy & Ho 2013). By exploiting these correlations, it is possible to measure black hole masses even when it is not possible to spatially resolve the sphere of influence of the SMBH (at $z \ge 0.01$) and derive the mass from the dynamics of stars or gas that is directly influenced by the BH. At higher redshifts, using these scaling relations has the advantage of being less data intensive than direct methods such as reverberation mapping. They have therefore made SMBH mass measurements a relatively easy task (compared to direct methods) at redshifts in excess of $z \sim 0.01$.

A robust method for extracting the velocity dispersion from galaxy spectra is to compare the width and equivalent width of stellar absorption lines with stellar template libraries in pixel space (e.g. Rix & White 1992; van der Marel 1994; Cappellari & Emsellem 2004). Working in pixel space makes masking bad pixels more easy, while it also facilitates the simultaneous modelling of gas and stellar kinematics with other observational effects such as contamination due to emission-line gas (Cappellari 2017).

In this work, we present the first systematic effort to measure the BH masses of a sample of 12 optically/UV-selected TDE host galaxies. In Section 2, we describe the sample selection and observations used to perform the measurements. Section 3 explains the methodology we followed; we present the results and discuss their implications in Section 4. Finally, we summarize in Section 5.

2 OBSERVATIONS AND DATA REDUCTION

2.1 Host galaxy sample

We have obtained spectroscopic observations (Table 1) of galaxies hosting optically/UV-selected nuclear transients with a blackbody temperature in excess of 10⁴ K (which we will refer to as TDEs) located in the Northern sky (declination $> 0^{\circ}$). Our sample is complete down to a limiting (host galaxy) magnitude of $g_{host} = 22$ mag; the hosts span a range in redshift from 0.016 to 0.37. These transients were discovered by a variety of surveys (see Table 1 for references to the discovery papers), including the Sloan Digital Sky Survey (SDSS), the All Sky Automated Survey for Supernova (ASASSN), the (intermediate) Palomar Transient Factory (PTF), the Panoramic Survey Telescope and Rapid Response System (PS1) and the Galaxy Evolution Explorer (GALEX). Our sample comprises 12 sources out of a total of 13 optically/UV-discovered TDEs in the Northern sky.¹ PS1–11af is the remaining source at $g_{\text{host}} = 23$ and z = 0.405 (Chornock et al. 2014). There is one TDE in our sample for which a discovery article has not yet been published in the literature: iPTF-15af. This TDE was discovered in the galaxy SDSS J084828.13+220333.4 (French, Arcavi & Zabludoff 2016).

The observations were performed with the William Herschel Telescope (WHT; Section 2.2) on La Palma, Spain, the Very Large Telescope (VLT; Section 2.3) at Cerro Paranal, Chile and the Keck–II telescope on Mauna Kea, Hawaii.

2.2 WHT/ISIS

We obtained late time spectra of some TDE host galaxies using the Intermediate dispersion Spectrograph and Imaging System (ISIS; Jorden 1990) mounted at the Cassegrain focus of the 4.2 m William Herschel Telescope (WHT) located on La Palma, Spain. We used the R600B and R600R gratings in the blue and red arm, respectively, with central wavelengths optimized for covering wavelength regions containing host galaxy absorption lines. There is a gap in the coverage between the blue and red arms due to the use of a dichroic at 5300 Å. The wavelength coverage of this set-up is 1000 Å around the central wavelength of each arm. A summary of the observations is presented in Table 1.

We first perform the standard reduction steps such as a bias level subtraction, a flat-field correction and a wavelength calibration using IRAF. Cosmic rays are removed using the *lacos* package in IRAF (van Dokkum, Bloom & Tewes 2012). The typical root-mean-square (rms) deviation of the applied wavelength solution is ≤ 0.1 Å, which corresponds to at most 0.5 pixels. The absolute wavelength calibration is evaluated by measuring the position of a Hg 1 sky line at $\lambda 4358.33$, and when necessary the spectra are shifted to match the same wavelength scale. This ensures that combining

¹ http://TDE.space

Table 1. Overview of the observations used in this work. The galaxies are sorted according to increasing redshift. Slit gives the slit width used, and σ_{instr} is the instrumental broadening (in km s⁻¹) as measured from sky or arc lamp lines. The value of σ_{instr} is calculated at 3900 Å in the rest frame of the host except for D3–13, where it is given at 5000 Å (because of the rest-frame wavelength coverage of the spectrum).

Name	RA	Dec.	Telescope	Instrument	Slit (arcsec)	$\sigma_{\rm instr}$	Reference
iPTF-16fnl	00:29:57.01	32:53:37.2	VLT	X-shooter/UVB	0.8	20	Blagorodnova et al. (2017)
ASASSN-14li	12:48:15.23	17:46:26.4	WHT	ISIS/R600	0.8	50	Holoien et al. (2016a)
			Keck	ESI	0.5	16	
ASASSN-14ae	11:08:40.12	34:05:52.2	WHT	ISIS/R600	0.7	40	Holoien et al. (2014)
			Keck	ESI	0.5	16	
PTF-09ge	14:57:03.18	49:36:41.0	WHT	ISIS/R600	1.1	55	Arcavi et al. (2014)
			Keck	ESI	0.5	16	
iPTF-15af	08:48:28.13	22:03:33.4	Keck	ESI	0.5	16	French et al. (2016)
iPTF–16axa	17:03:34.34	30:35:36.7	Keck	ESI	0.5	16	Hung et al. (2017)
PTF-09axc	14:53:13.08	22:14:32.3	WHT	ISIS/R600	1.1	55	Arcavi et al. (2014)
SDSS TDE1	23:42:01.41	01:06:29.3	WHT	ISIS/R600	1.1	55	van Velzen et al. (2011)
PS1-10jh	16:09:28.28	53:40:24.0	Keck	ESI	0.5	16	Gezari et al. (2012)
PTF-09djl	16:33:55.97	30:14:16.6	WHT	ISIS/R600	1.1	55	Arcavi et al. (2014)
			Keck	ESI	0.5	16	
GALEX D23H-1	23:31:59.54	00:17:14.6	WHT	ISIS/R600	1.1	55	Gezari et al. (2009)
GALEX D3-13	14:19:29.81	52:52:06.4	Keck	DEIMOS/1200G	1.0	35	Gezari et al. (2006)

multiple spectra of the same source does not introduce an artificial broadening of the absorption lines. The spectra are rebinned to a linear dispersion on a logarithmic wavelength scale. We perform an optimal extraction (Horne 1986), which weights each pixel along the spatial profile by the inverse variance of the number of detected photons (i.e. pixels containing less signal get down-weighted) to achieve the highest possible signal-to-noise ratio (SNR) for the extracted spectrum. The variance spectra are also calculated and will be used for Monte Carlo simulations (Section 3). We measure the instrumental broadening of the different observational set-ups using arc lamp observations taken together with the science spectra to measure σ_{instr} . The resolution of the observations is slit limited for all spectra. Our observations provide an instrumental resolution full width at half-maximum (FWHM) of 1.75 Å in the blue arm for a 1.1 arcsec slit width (or better, if the slit width was smaller), which corresponds to 55 km s⁻¹ at 3900 Å (Table 1). We present the resulting spectra in Fig. 1 (top panel).

2.3 VLT/X-shooter

For iPTF–16fnl, we have obtained a late time spectrum (~193 d after peak brightness) in which the TDE does not contribute a significant fraction to the total galaxy light on 2016 November 25 (Onoriet al. in preparation) with X-shooter (Vernet et al. 2011), mounted on UT2 (Kueyen) of the VLT at Cerro Paranal, Chile. The 1800 s observation (OB ID: 1617353) was performed using an 0.8 arcsec slit. The spectral resolution provided by this set-up is R = 6200, which yields an instrumental broadening equivalent to $\sigma = 20$ km s⁻¹ at 3900 Å. We use the ESO Phase 3 pipeline² reduced spectrum of the UVB arm for our analysis, which has an absolute wavelength calibration accurate to 0.3 Å.

2.4 Keck/ESI

We took medium resolution spectra with the Echelette Spectrograph and Imager (ESI; Sheinis et al. 2002), mounted at the Cassegrain focus of the Keck–II telescope on Mauna Kea, Hawaii. The instrument provides a wavelength coverage ranging from 3900 to 10 000 Å in multiple echelle orders. The observations were performed using a 0.5 arcsec slit, providing a near-constant resolving power of R = 8000. The FWHM resolution is 38 km s⁻¹, which translates to an instrumental resolution of $\sigma_{instr} = 16 \text{ km s}^{-1}$.

The data were reduced using the MAuna Kea Echelle Extraction (makee) software package, which was developed and optimized for the reduction of ESI data. The pipeline performs standard spectroscopic data reduction routines including bias subtraction, flat-fielding and spectrum extraction. The standard star Feige 34 was used to compute the trace of the science objects. The position of each echelle order is traced, optimally extracted and wavelength calibrated independently, after which the different orders are rebinned to a linear dispersion on a logarithmic wavelength scale with a dispersion of 11.5 km s⁻¹ per pixel. The orders are combined using the *combine* command to produce a 1D spectrum. The wavelength calibration is performed in IRAF using two arc lamp (CuAr and HgNe+Xe) exposures.

2.5 Further data processing

After obtaining the 1D spectra from our WHT, VLT and Keck observations, further processing steps are required before we can measure the velocity dispersion. The spectra are normalized by fitting third-order cubic splines to the continuum in MOLLY. We mask all prominent absorption and emission lines during this process to identify the continuum. We average the spectra, weighting by the mean SNR (variance) of each individual exposure.

We extract spectra from two different spatial regions of the host galaxy for each exposure (see Section 3.2). One extraction includes the whole galaxy along the slit to increase the SNR of the resulting spectrum. The second extraction region is centred on the peak of the light profile, and has an aperture radius equal to the seeing of the exposure. This extraction aims at isolating as much as possible the bulge region of the galaxy to provide an estimate of the central velocity dispersion rather than the luminosity-weighted velocity dispersion obtained from the entire galaxy. We measure the seeing using point sources present on the slit; if not available, we use measurements of a local seeing monitor (the Robotic Differential

² http://www.eso.org/observing/dfo/quality/XSHOOTER/pipeline



Figure 1. Top panel: continuum normalized TDE host galaxy spectra. The top six spectra were taken with WHT/ISIS (blue arm), while the bottom spectrum was taken with VLT/X-shooter (UVB arm). The spectra are shifted to the rest-frame wavelength of the hosts. Solid lines mark transitions of the H Balmer series. The two dashed lines mark the Ca H and K lines at $\lambda\lambda$ 3934,3968. The dash-dotted and dotted lines mark the Mg ib and Fe λ 5270 lines. Bottom: same, but showing the Keck/ESI spectra. The spectra have been smoothed with a boxcar filter with a 10-pixel width for display purposes. The noise in the red part of the PS1–10jh and PTF–09djl spectra is due to incomplete sky line subtractions. We only show the part of the spectrum that was used for template fitting.

Image Motion Monitor, available for the WHT data) as an estimate. In case no measurements are available, we use an aperture equal to the slit width, effectively mimicking a square fibre with sides equal to the slit width.

3 VELOCITY DISPERSION MEASUREMENTS

We use the penalized pixel fitting (PPXF) method (Cappellari & Emsellem 2004; Cappellari 2017) to measure the line-of-sight velocity dispersion function (LOSVD), typically denoted as f(v), of

the galaxies in our sample. Briefly, the method consists of convolv-
ing a set of template spectra with an initial guess for
$$f(v)$$
, which is
then compared to the observed host galaxy spectrum. The LOSVD
is parametrized by a series of Gauss–Hermite polynomials in the
form

$$f(v) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(\frac{1}{2}\frac{(v-V)^2}{\sigma^2}\right) \left[1 + \sum_{m=3}^M h_m H_m\left(\frac{v-V}{\sigma}\right)\right],\tag{1}$$

where V is the mean velocity along the line of sight, σ is the velocity dispersion, H_m are Hermite polynomials and h_m their coefficients. The Hermite polynomials are defined as

$$H_i = \frac{1}{\sqrt{i!}} e^{x^2} \left(-\frac{1}{\sqrt{2}} \frac{\partial}{\partial x} \right) e^{-x^2},$$
(2)

where we include terms up to H_4 . The terms H_3 and H_4 parametrize the asymmetric and symmetric deviations from a Gaussian line profile, respectively. The best-fitting template is found by χ^2 minimization, using the set of templates convolved with f(v) for the variables $[V, \sigma, h_3, h_4]$. The PPXF method was specifically designed to extract accurate kinematical information in the case of low-SNR spectra. We refer the reader to Cappellari & Emsellem (2004) and Cappellari (2017) for more details.

3.1 Template library

We note that the red part of the WHT spectra does not contain well defined, deep and unblended absorption lines suitable for a robust measurement of the velocity dispersion. At bluer wavelengths, the Ca II H+K absorption lines at $\lambda\lambda$ 3934, 3968 in combination with many smaller absorption lines provide the best means to determine the velocity dispersion. The H Balmer absorption lines are known to be strongly affected by pressure broadening due to collisional or ionizational excitation, and we exclude them from the measurement process. We therefore only use the blue part of the WHT spectra, starting at 3900 Å. We fit the full spectral range, as the use of many absorption lines present in the spectrum will improve the measurement of the velocity dispersion. We mask the H Balmer lines, and in addition emission lines of O III at $\lambda\lambda$ 4959, 5007, the diffuse interstellar band at λ 5780 and the interstellar Na I D absorption lines at $\lambda\lambda$ 5890, 5895.

Based on the highest resolution spectrum and the wavelength coverage of the observations, we choose template spectra from the ELODIE v3.1 data base (Prugniel & Soubiran 2001; Prugniel et al. 2007). This spectral library contains 1554 templates at $R = 10\ 000\ at\ 5500\ \text{Å}$, which implies a velocity dispersion resolution of $\sigma = 17\ \text{km\ s}^{-1}$ at 3900 Å. By using a large set of templates, we minimize the effects of mismatches between the observed galaxy spectra and the templates used to derive the line broadening. The best-fitting parameters are obtained by χ^2 minimization. Because the higher order terms (h_3 and h_4) can only be robustly constrained in the case of high-SNR data, the method includes a bias factor that penalizes these terms in the best-fitting solution to 0 in case the SNR is low. We follow the procedure outlined in Emsellem et al. (2004) to determine the appropriate value for the penalty in the fitting procedure for each galaxy.

During the measurement process (in PPXF) for the Keck spectra, we take into account that the template FWHM resolution (in Å) is independent of wavelength (0.54 Å), but the ESI spectral resolution (in Å) varies with wavelength. We only use the wavelength range where $\sigma_{\text{template}} \leq \sigma_{\text{ESI}}$, starting at 4300 Å and ending at 6800 Å, where the template spectral coverage stops.

3.2 Luminosity-weighted LOSVD and central LOSVD

In contrast with the IFU/fibre observations that are typically used to measure the kinematics of galaxies (e.g. in the SDSS Baryonic Oscillations Spectroscopic Survey; Dawson et al. 2013), we measure the LOSVD using long-slit observations. For spectroscopic observations obtained using a fibre instrument with a \sim few arcsec diameter, one expects an evolution of the measured velocity dispersion with the ability to spatially resolve the bulge of the galaxy, i.e. with redshift (e.g. Bernardi et al. 2003). For increasing distances, the velocity dispersion is influenced by stars at larger physical radii, and thus depends on the velocity dispersion profile of the galaxy. We use long-slit observations, and the measurements including the entire galaxy in the extraction region are effectively luminosity-weighted velocity dispersions. It was shown by Gebhardt et al. (2000) that such measurements reflect the central velocity dispersion to good degree (to within 5 per cent, see their Fig. 1) as long as the slit width is smaller than or comparable to the effective light radius of the host galaxy.

It should be noted that the sample used by Gebhardt et al. (2000) consists of galaxies at much lower redshifts and with higher masses. Therefore, the bulge region in these nearby, massive elliptical galaxies is more dominant in a long-slit observation than we expect them to be for our sample, which consists of galaxies at higher redshifts and smaller bulge masses, as theory predicts these smaller SMBHs to produce higher rates of TDEs (Magorrian & Tremaine 1999; Wang & Merritt 2004; Stone & Metzger 2016). The underlying principle still holds, but the luminosity-weighted LOSVD measurements of our sample must be interpreted with care: its relation to the central velocity dispersion depends on the relative dominance of the bulge region over the rest of the galaxy. For this reason, we provide central velocity dispersion measurements based on the careful extractions outlined in Section 2, which aim at isolating the velocity dispersion in the central part of the galaxy.

3.3 Robust velocity dispersions

To robustly estimate the velocity dispersion and its uncertainty induced by the measurements, we perform 1000 Monte Carlo simulations. We resample the original spectrum by drawing flux values from a Gaussian distribution within the errors as obtained from the optimal extraction for each pixel. This ensures that the data quality of each simulation (i.e. the average SNR) remains the same and does not influence our measurements. We fit the resulting distribution of velocity dispersion values with a Gaussian function and adopt the mean and standard deviation as the best-fitting value for σ and its uncertainty.

4 RESULTS AND DISCUSSION

As an illustration, we show the result of the template–fitting procedure in Fig. 2 using the WHT spectrum of TDE1. Overlaid in red is the best-fitting template spectrum broadened to 126 km s⁻¹. The residuals are shown in green, while blue regions are excluded in the fitting process. The velocity dispersion is well defined and the fit describes the data well, leaving little structure in the residuals. In Fig. 3, we show the distribution of measured σ values and the Gaussian fit used to determine the mean and standard deviation.

To obtain BH masses, we assume that the $M-\sigma$ relation holds for all the velocity dispersions we measure, and convert the measurements to masses using the relation from Ferrarese & Ford (2005):

$$\frac{M_{\rm BH}}{10^8 \,\rm M_{\odot}} = 1.66 \times \left(\frac{\sigma}{200 \,\rm km \, s^{-1}}\right)^{4.86} \tag{3}$$

To estimate the uncertainties in the BH mass, we add the uncertainties of the velocity dispersion measurements linearly with the 0.34 dex systematic uncertainty introduced by using the $M-\sigma$ relation (Ferrarese & Ford 2005). The uncertainty is dominated by the scatter in the $M-\sigma$ relation except for D23H–1. In Table 2, we



Figure 2. Part of the continuum normalized WHT spectrum of TDE1, overlaid with the best-fitting template spectrum (red) broadened to a velocity dispersion of 126 km s⁻¹. The residuals are shown in green. Blue regions are excluded from the fit.



Figure 3. Distribution of velocity dispersion measurements for TDE1 obtained from 1000 Monte Carlo trials of the WHT spectrum. The distribution is well approximated by a Gaussian, with a mean value of 126 km s^{-1} and a standard deviation of 7 km s⁻¹.

present the results of the velocity dispersion measurements for our sample. We also include the redshift, host galaxy magnitude and half-light radius, as well as literature values of velocity dispersion measurements for comparison purposes.

4.1 Comparison to independent measurements

For several sources in our sample, velocity dispersion measurements are available in the literature. In Table 2, we list the literature values alongside our own measurements. Several of the velocity dispersions measured from SDSS spectra are below the instrumental resolution, which we deem less reliable, especially for low-SNR observations. Three sources can be reliably compared: TDE1, D23H-1 and iPTF-15af. We quote the measurements performed by Thomas et al. (2013), as these authors also use PPXF to measure σ , although they use a different set of templates and a different wavelength regime (4500-6500 Å). For TDE1, these authors find $\sigma = 137 \pm 12$ km s⁻¹, while we find a slightly smaller value of $\sigma = 126 \pm 7$ km s⁻¹. The measured values for D23H– 1 and iPTF-15af are consistent within the errors with the SDSS measurements of Thomas et al. (2013). The velocity dispersion of D3-13 was measured using a similar template fitting procedure by Gezari et al. (2006) and was measured to be 120 ± 10 km s⁻¹. Using our resampling approach, we find $\sigma = 133 \pm 6$ km s⁻¹, slightly higher but consistent within the mutual uncertainties. We also note that for iPTF-16fnl there is a discrepancy between our measured value (55 \pm 2 km s⁻¹) and that of Blagorodnova et al. (2017) (89 \pm 1 km s⁻¹), which fit Gaussian lines to the Mg I b and Ca II triplet simultaneously.

Furthermore, we have WHT and Keck spectra of four sources, providing another opportunity for independent measurements. For ASASSN-14ae, we measure 56 \pm 7 and 53 \pm 2 km s⁻¹ using the ISIS and ESI spectra, respectively, while for ASASSN-14li we measure 72 \pm 3 and 81 \pm 2 km s⁻¹. We use the inversevariance-weighted average of these independent measurements as the best estimate of the velocity dispersion: $\sigma_{avg} = 53 \pm 2 \text{ km s}^{-1}$ and $\sigma_{\rm avg} = 78 \pm 2$ km s⁻¹ for ASASSN-14ae and ASASSN-14li, respectively. For PTF-09ge, we calculate an inverse-varianceweighted mean of $\sigma_{avg} = 81 \pm 2$ km s⁻¹. Regarding PTF–09djl, there appears to be an inconsistency of $\sim 40 \text{ km s}^{-1}$ between the Keck ($64 \pm 7 \text{ km s}^{-1}$) and WHT ($104 \pm 13 \text{ km s}^{-1}$) values. We note that the overlapping wavelength coverage of the WHT spectrum with the templates is small (\sim 500 Å), and a visual inspection of the best-fitting template with the galaxy spectrum reveals that the fit is poor. Moreover, our WHT spectra use a 1.1 arcsec slit width, while the bulge half-light radius of this galaxy is 0.3 arcsec and hence does not satisfy the criterion of Gebhardt et al. (2000) (see

Table 2. Measured central velocity dispersions ($\sigma_{WHT/VLT}$ and σ_{Keck}) and nuclear BH masses using the relation from Ferrarese & Ford (2005). When the central velocity dispersion could not be determined, we use the luminosity-weighted value to calculate the BH mass and mark the value with a *. For PTF–09djl, we deem σ_{WHT} unreliable (see the text). The uncertainty on the mass is the linear sum of the systematic uncertainty from the M- σ relation (0.34 dex) and the error introduced by the measurement uncertainty. We also include the host *g*-band (Petrosian) magnitude and the bulge half-light radius (from a deVaucouleur profile fit) in the *g*-band from SDSS. Slit gives the slit width, in arcseconds. σ_{lit} are literature values, taken from SDSS (Thomas et al. 2013) except for D3–13 and iPTF–16fnl, where we quote the values by Gezari et al. (2006) and Blagorodnova et al. (2017), respectively. We omit SDSS measurements below 70 km s⁻¹ as they are unreliable.

Name	$\sigma_{\rm WHT/VLT}$ (km s ⁻¹)	$\sigma_{\rm Keck}$ (km s ⁻¹)	$\log_{10}(M_{\rm BH})$ (M _{\odot})	m _g	Half-light radius (arcsec)	Slit (arcsec)	$\sigma_{\rm lit}$ (km s ⁻¹)
iPTF-16fnl	55 ± 2	_	$5.50^{+0.42}_{-0.42}$	15.61	5.2	0.8	89 ± 1
ASASSN-14li	72 ± 3	81 ± 2	$6.23_{-0.40}^{+0.39}$	16.15	1.0	0.8/0.5	-
ASASSN-14ae	56 ± 7	53 ± 2	$5.42_{-0.46}^{+0.46}$	17.49	2.9	0.7/0.5	-
PTF-09ge	72 ± 6	82 ± 2	$6.31_{-0.39}^{+0.39}$	18.06	2.8	1.1/0.5	-
iPTF-15af	_	106 ± 2	$6.88^{+0.38}_{-0.38}$	18.64	1.9	0.5	$98~\pm~11$
iPTF–16axa	_	82 ± 3	$6.34_{-0.42}^{+0.42}$	19.46	1.7	0.5	_
PTF-09axc	60 ± 4	-	$5.68^{+0.48}_{-0.49}$	18.87	0.5	1.1	-
SDSS TDE1	$126~\pm~7$	-	$7.25_{-0.46}^{+0.45}$	20.44	0.6	1.1	$137~\pm~12$
PS1-10jh	_	65 ± 3	$5.85_{-0.44}^{+0.44}$	21.95	0.26	0.5	-
PTF-09djl	104 ± 13	64 ± 7	$5.82^{+0.56}_{-0.58}$	20.72	0.3	1.1/0.5	_
GALEX D23H-1	$77 \pm 18^*$	-	$6.21_{-0.90}^{+0.78}$	20.23	0.6	1.1	$86~\pm~14$
GALEX D3-13	$133 \pm 6^*$	-	$7.36\substack{+0.43 \\ -0.44}$	21.99	0.6	1.0	$120~\pm~10$

discussion below). On the other hand, the best-fitting solution to the Keck spectrum is satisfactory. We therefore adopt the value as measured from the Keck spectrum, as the best representation of the central velocity dispersion of this source.

4.2 Potential caveats

4.2.1 Signal-to-noise ratio and σ

We have determined the value and uncertainty of σ by performing Monte Carlo simulations (Table 2). We find that, as expected, the accuracy with which σ can be recovered is strongly dependent on the SNR and the wavelength coverage of the data. For the spectrum of D23H-1, the relatively low SNR of the spectra causes a degeneracy in the best-fitting velocity dispersion. Due to the large errors in the observed spectrum, the χ^2 minimization is not able to resolve the shallow, narrow absorption lines. Instead, the minimization procedure finds a good fit with larger values of $\sigma \sim$ few hundred km s⁻¹, essentially fitting only a few broad absorption lines instead of the myriad of low SNR, low equivalent width absorption lines present in the spectrum. In this case, we use the trials corresponding to a limited (but conservatively large) range of σ to determine the best-fitting velocity dispersion. We perform Monte Carlo simulations until this limited range contains at least 1000 trials, to robustly estimate the uncertainty induced by the measurement errors. An illustration is shown in Fig. 4 for the WHT spectrum of D23H-1, including a fit to all the trials (top) and a fit to only the trials in the range $\sigma = [0,130]$ km s⁻¹ (bottom). We adopt $\sigma = 77 \pm 18$ km s^{-1} in this case. We also note that extracting the central region of the host galaxy to D23H-1 results in a low-SNR spectrum. The model fitting becomes less constrained and we are no longer able to robustly measure the central velocity dispersion of this galaxy.

For the Keck spectra, the large wavelength coverage makes it is possible to accurately determine the velocity dispersion even with a relatively low SNR per pixel because of the large number of small lines in the spectrum. The large wavelength coverage (hence large number of degrees of freedom) combined with the fact that no very deep absorption lines are present (such as the Ca H+K lines in the WHT spectra) also makes the template selection procedure more prone to make non-optimal choices. This can lead to a divergence in the fitting process if there are only a few absorption lines in common between the science spectrum and the selected templates to determine σ . This is observed as a tail of outliers at high-velocity dispersion values; we therefore use a similar procedure as outlined above for D23H–1 to fit a Gaussian to a restricted range in the velocity dispersion distribution.

4.2.2 Comparison of luminosity-weighted and central LOSVDs

We find no significant differences between the luminosity-weighted LOSVDs and the central velocity dispersion values. In all cases, the measurements yield results that are consistent within the mutual errors. In Table 2, we provide the host galaxy half-light radius, as determined by SDSS (Stoughton et al. 2002) from a de Vaucouleur profile fit to the galaxy light. We note that for all sources except for the WHT spectrum of PTF–09djl, our observations are within the regime where the slit width is less than two times the galaxy half-light radius, for which Gebhardt et al. (2000) have shown that the luminosity-weighted LOSVD is a good tracer of the central velocity dispersion. For the WHT spectra of PTF–09djl, we are not in this regime (as discussed in Section 4.1). We therefore adopt the value obtained from the Keck spectrum, obtained with a slit width of 0.5 arcsec, as the most reliable measurement.

For the other sources, we do not find significant differences between the luminosity-weighted and central LOSVDs, implying as expected that our long-slit data, even when extracting the full galaxy light, are not strongly influenced by the disc of the galaxy. We note that using an optimal extraction for the spectra will have helped in this respect.

4.2.3 Choice of M– σ relation

The particular choice of $M-\sigma$ relation and which version is the *best* version is still a matter of debate, with many versions published



Figure 4. Distribution of the Monte Carlo trials to determine the best-fitting velocity dispersion of D23H–1. Top: including all trials; bottom: including trials limited to $\sigma = [0,130]$ km s⁻¹ (see the text).

in the literature (Ferrarese & Ford 2005; Gültekin et al. 2009; Kormendy & Ho 2013; McConnell & Ma 2013). Each of these works has its particular sample selection that comes with advantages and disadvantages. In this work, we have chosen to use the relation based on the sample of Ferrarese & Ford (2005), who included only galaxies for which the sphere of influence had been resolved. If we compare these values with those obtained with the recent McConnell & Ma (2013) relation, valid for early-type galaxies, we find that the (non-systematic) difference is less than 0.1 dex for the sources in our sample. Therefore, we do not expect the particular choice of the $M-\sigma$ relation to influence our conclusions. In Fig. 5, we show the original (resolved) sample used by Ferrarese & Ford (2005) to derive the $M-\sigma$ relation (equation 3; dashed line). We have overplotted the relation by McConnell & Ma (2013) (dotted line) and Kormendy & Ho (2013) (solid line) to illustrate the effect on the derived masses. We note that the latter relation was explicitly derived for elliptical galaxies and is most likely not appropriate for our sample.



Figure 5. TDE host BH masses and various versions of the $M-\sigma$ relation. Black stars represents the resolved sample of Ferrarese & Ford (2005), while the dashed line represents the best-fitting relation (equation 3); red triangles represent the TDE host galaxies. The dotted line represents the McConnell & Ma (2013) relation valid for early type galaxies. The solid line represents the Kormendy & Ho (2013) relation for massive ellipticals. Regarding the latter relation, we remark that our galaxies are not ellipticals and therefore it is unlikely that this relation is appropriate for our sample.

Another issue that arises from using the $M-\sigma$ relation for our sample is that several host galaxies harbour BHs with masses that are lower than the mass range for which the relation was originally derived (see also Fig. 5). Simulations have shown that the (currently unknown) BH seed formation scenario has an impact on the validity of the $M-\sigma$ relation at the low-mass end. For example, Volonteri (2010) showed that in the case of high-mass seeds the relation should show an increased scatter, possibly combined with a flattening at low σ . However, there is at present no conclusive evidence that corroborates these predictions. For example, Barth, Greene & Ho (2005) measure BH masses for less than 10^6 M_{\odot} BHs and find that they lie on the extrapolation of the $M-\sigma$ relation to lower masses. Xiao et al. (2011) found that the relation derived for quiescent massive ellipticals can also be extrapolated to active galaxies, with masses as low as 2×10^5 M_{\odot}. These authors did not find evidence for an increased scatter in the correlation at the low end of the mass range. We remark that direct mass measurements for these systems are needed to resolve this issue beyond doubt.

4.3 A BH mass distribution for TDE host galaxies

Recent theoretical work has used the observed sample of TDE candidates to analyse flare demographics (Kochanek 2016), to constrain the SMBH occupation fraction in low-mass galaxies (Stone & Metzger 2016), and to try to constrain optical emission mechanisms (Metzger & Stone 2016; Stone & Metzger 2016). The BH/bulge mass estimates used in these works are inhomogeneous, but are generally based on the M-L relation, and the bulge mass of these galaxies is subject to large uncertainties. Here, we present a new and updated BH mass distribution based on spectroscopic measurements of our host galaxy sample.

Our mass distribution, presented in Fig. 6, contains BH masses ranging from $3 \times 10^5 \ M_{\odot}$ to $2 \times 10^7 \ M_{\odot}$. It is dominated by low-mass BHs in the range $\sim 10^6 \ M_{\odot}$. The absence of BHs with masses lower than $3 \times 10^5 \ M_{\odot}$ could be explained by the increasingly smaller volume in which TDEs can still be detected around



Figure 6. Distribution of the observed BH masses in our sample of TDE host galaxies. The sample is dominated by low-mass BHs, as expected from theoretical arguments (Wang & Merritt 2004). This is in contrast to Fig. 12 of Stone & Metzger (2016), who found a more top-heavy $M_{\rm BH}$ distribution, with SMBH masses in optical TDE hosts peaked just below 10⁷ M_{\odot}.

low-mass BHs (assuming that the peak luminosity is Eddingtonlimited or otherwise scales with the BH mass). Alternatively, this could be a consequence of the BH occupation fraction in lowmass galaxies (Stone & Metzger 2016) or because of a lower flare luminosity due to inefficient circularization (Dai, McKinney & Miller 2015; Guillochon & Ramirez-Ruiz 2015). On the high-mass end, the lack of SMBHs in excess of $10^{7.5}$ M $_{\odot}$ could be explained by the direct capture of stars (Hills 1975). Testing this hypothesis requires a careful treatment of the survey completeness due to both the host and TDE flux limits, and will be explored in detail in van Velzen et al. (2017).

We remark that our mass distribution is in contrast with masses taken from the literature (e.g. fig. 12 of Stone & Metzger 2016). These authors found a more top-heavy $M_{\rm BH}$ distribution peaked just below $10^7 \,\mathrm{M_{\odot}}$, with SMBH masses mostly derived using the *M*-L relation. We list a few potential explanations for this difference below. To start, Stone & Metzger (2016) did not apply B/T corrections for most galaxies, implying that the resulting masses are upper limits. A second potential caveat is that many TDE host galaxies are rare E+A galaxies (Arcavi et al. 2014; French et al. 2016), which are thought to possess a central overdensity of stars due to a recent merger (Zabludoff et al. 1996). These galaxies are observed to have very centrally peaked light profiles (see e.g. Stone & van Velzen 2016), and therefore they could be overluminous with respect to the galaxies used to derive the scaling relation (typically massive ellipticals). This was also noted by French, Arcavi & Zabludoff (2017) as a caveat to their analysis, and may explain why we find lower BH masses for three sources (ASASSN-14ae, ASASSN-14li and PTF-09ge) with $M_{\rm BH}$ estimated from $M_{\rm bulge}$ using stellar population fitting (French et al. 2017; their table 2). Finally, Graham (2012) has shown that the M-L relation may be a broken power law rather than applicable to the whole mass range; they find that it should have a steeper slope $(M \propto L^2 \text{ instead of } M \propto L^1)$ below $\sim 10^8 \text{ M}_{\odot}$. This would lead to an overestimate of $M_{\rm BH}$ for masses below $\sim 10^8$ M_☉. Based on numerical simulations, Fontanot, Monaco & Shankar (2015) identified that stellar feedback due to star formation may lead to a change of slope in the *M*–*L* scaling relation. Graham & Scott (2015) also suggest that a steeper relation can explain the presence of samples of low-mass AGNs with seemingly undermassive BHs.

4.4 Correlations with other observables

Recent studies investigating potential correlations between the BH mass and other TDE observables such as peak luminosity and e-folding time-scale are reported by Hung et al. (2017) and Blagorodnova et al. (2017), respectively. Despite some suggestive evidence, no strong correlations were observed. However, this could be a consequence of the heterogeneous mass measurements available in the literature, motivating us to re-investigate potential correlations. In Fig. 7, we plot our BH masses against other observables. We provide the plotted data in Table 3. We search for correlations between the observables using the Spearman rank correlation metric. Similar to previous work, we do not find statistically significant (95 per cent confidence interval) correlations. This could be a consequence of the small sample size, in combination with the degeneracy of different parameters such as the mass of the star and the impact parameter. Nevertheless, it is instructive to discuss some suggestive evidence for correlations with the host BH mass or derived Eddington luminosity. It is important to note that our galaxy sample is drawn from flux-limited surveys, and we do not consider the effects of a flux limit for the flare itself. We will find that the qualitative trends corroborate the tidal disruption interpretation of these events, and moreover can provide input and constraints for viable TDE emission models.

4.4.1 Redshift

Fig. 7(a) suggests that TDEs found at lower redshift are associated with lower mass BHs. The dearth of TDEs found in low-mass BHs at higher redshifts may be a consequence of the flux limited nature of our sample. The lack of higher BH masses for TDE hosts at low redshifts could be explained by the relative rarity of higher mass BHs, as the log(N) - log(M) distribution of BH masses rises towards lower masses (e.g. Shankar, Weinberg & Miralda-Escudé 2009). The exponential tail of the BH mass function implies that a large volume is needed to include enough high-mass BHs. As a result, in a flux-limited sample, the observed BH mass distribution is expected to correlate with redshift as long as it does not contain a representative sample of galaxies.

4.4.2 Peak absolute magnitude

In Fig. 7(b), we show that the (K-corrected; Humason, Mayall & Sandage 1956) peak absolute *g*-band magnitudes, i.e. the peak luminosity measured at 6.3×10^{14} Hz in the rest frame, plotted as a function of the BH mass. We use the peak flux in the filter with the best temporal sampling in the literature, together with the blackbody temperature (taken from the literature, see Table 3) to calculate the peak *g*-band magnitude in the rest frame of the host. Because we correct to the rest frame of the host galaxy, the specific filter choice is irrelevant. We note that for several TDEs we can only determine upper limits as the peak of the light curve sof these events with other well-sampled light curves of TDEs suggests that the peak was



Figure 7. TDE observables as a function of BH mass (or derived Eddington luminosity). (a) It shows the host redshift as a function of M_{BH} . (b) It presents the (K-corrected) peak absolute magnitude as a function of M_{BH} , while (c) shows the peak blackbody luminosity as a function of the implied Eddington luminosity. The lines represent constant Eddington ratios. In (d), we plot the decay rate (in the host rest frame) as a function of M_{BH} . The dashed line represents the theoretically expected peak fallback rate (see the text) and is proportional to $M_{BH}^{-1/2}$.

probably missed only by a few days and therefore the difference should be small. We do not observe a statistically significant trend of peak absolute magnitude with BH mass.

The observations suggest that current optical/UV surveys are already probing the fainter end of the TDE luminosity function (illustrated by the spread of optically/UV-discovered TDEs between $-17 \le M_{\text{peak}} \le -21$), although it is likely that this luminosity function extends to even fainter sources. The bimodality in peak absolute magnitude is not significant and can be explained by small sample statistics.

4.4.3 Eddington ratio

Using the blackbody temperature and the peak absolute magnitude, we calculate the integrated blackbody peak luminosity L_{BB} . We determine the uncertainties by varying the temperature of the blackbody function within its errors. In Fig. 7(c), we compare L_{BB} to the Eddington luminosity implied by our BH masses. The lines represent constant Eddington ratios, where the solid line represents the Eddington limit (i.e. where $L_{BB} = L_{Edd}$). The peak luminosity of all TDEs is consistent with being at the Eddington limit except for the two events with the highest BH masses, which have Eddington ratios of ~0.02 for TDE1 and 0.07 for D3–13. These properties are in agreement with simple dynamical predictions for the peak mass fallback rate \dot{M}_{peak} , which give (e.g. Stone, Sari & Loeb 2013)

$$\frac{\dot{M}_{\text{peak}}}{\dot{M}_{\text{Edd}}} \approx 130 \frac{\eta}{0.1} \left(\frac{M_{\text{BH}}}{10^6 \,\text{M}_{\odot}}\right)^{-3/2} \left(\frac{M_{\star}}{\text{M}_{\odot}}\right)^2 \left(\frac{R_{\star}}{\text{R}_{\odot}}\right)^{-3/2}.$$
(4)

Here, $\eta \leq 1$ is the radiative efficiency of the accretion flow produced by the tidal disruption of a star with mass M_{\star} and radius R_{\star} $(\dot{M}_{\rm Edd} \equiv L_{\rm Edd} \eta^{-1} c^{-2})$. In this scenario, the initial fallback rate is super-Eddington for low-mass SMBHs and most stars on the main sequence. Nevertheless, if this simple fallback picture holds, the blackbody luminosity is limited to the Eddington luminosity. For a typical lower main sequence star ($M_{\star} = 0.3 \, {\rm M}_{\odot}$, $R_{\star} = 0.38 \, {\rm R}_{\odot}$), the initial fallback rate following disruption will be sub-Eddington when $M_{\rm BH} \geq 10^{7.13} \, {\rm M}_{\odot}$, as is probably the case for TDE1 and D3–13. In these flares, the fallback rate is likely sub-Eddington, and assuming that the luminosity tracks the fallback rate, so is the optical emission. If emission mechanisms other than blackbody operate, and depending on if these involve the emission of higher energy (e.g. X-ray) radiation, this picture could change drastically.

Table 3. Host galaxy and TDE properties of our sample. We have included the velocity dispersion and derived BH mass, host redshift, Eddington
luminosity, integrated blackbody luminosity, blackbody temperature, decay rate and (K-corrected) peak absolute magnitude in the g band. All logarithms
are with base 10. Values between brackets indicate the uncertainty in the last digit. The uncertainties in the Eddington luminosity are identical to the
uncertainties in the BH mass and are omitted from the table. Values marked with a * are lower limits. We also give the reference work from which data
were taken. For iPTF–15af, no data are available in the literature.

Name	σ (km s ⁻¹)	$\log(M_{\rm BH})$ (M _O)	Z	$log(L_{Edd})$ (erg s ⁻¹)	$log(L_{BB})$ (erg s ⁻¹)	$T_{\rm BB}$ (10 ³ K)	$\frac{R_{\rm BB}}{(10^{14} \text{ cm})}$	Decay rate (mag/100d)	M _g (mag)	Ref.
iPTF-16fnl	55 ± 2	$5.50^{+0.42}_{-0.42}$	0.016	43.6	43.5(1)	35(3.5)	1.8(4)	4.4 ± 0.3	-17.2	a,b
ASASSN-14li	$78~\pm~2$	$6.23^{+0.39}_{-0.40}$	0.021	44.3	43.8(1)	35(3)	2.4(5)	0.92 ± 0.05	-17.7	c,d
ASASSN-14ae	53 ± 2	$5.42^{+0.46}_{-0.46}$	0.043	43.5	43.9(1)	21(2)	7(1.5)	1.7 ± 0.3	-19.1	е
PTF-09ge	$81~\pm~2$	$6.31_{-0.39}^{+0.39}$	0.064	44.4	44.1(1)	22(2)	9(2)	1.58 ± 0.04	-19.9	f
iPTF–15af	$106~\pm~2$	$6.88^{+0.38}_{-0.38}$	0.079	45.0	-	-	-	_	_	_
iPTF–16axa	$82~\pm~3$	$6.34_{-0.42}^{+0.42}$	0.108	44.4	44.5(1)	30(3)	7.6(1.5)	1.85 ± 0.07	-19.1	g
PTF-09axc	60 ± 4	$5.68^{+0.48}_{-0.49}$	0.115	43.8	43.49(5)	12(1)	14.5(3)	0.7*	-19.5	f
SDSS TDE1	$126~\pm~7$	$7.25_{-0.46}^{+0.45}$	0.136	45.4	43.5(1)	24(3)	3.6(1)	1.7 ± 0.3	-18.1	h
PS1–10jh	$65~\pm~3$	$5.85_{-0.44}^{+0.44}$	0.170	44.0	44.21(7)	29(2)	5.7(9)	2.56 ± 0.07	-19.4	i
PTF-09djl	64 ± 7	$5.82^{+0.56}_{-0.58}$	0.184	43.9	44.4(1)	26(3)	9(2)	0.6*	-20.2	f
GALEX D23H-1	$77~\pm~18$	$6.21_{-0.90}^{+0.78}$	0.185	44.3	44.0(1)	49(5)	1.5(4)	0.67 ± 0.04	-17.3	j
GALEX D3-13	133 ± 6	$7.36_{-0.44}^{+0.43}$	0.369	45.5	44.30(5)	49(2)	2.2(2)	0.26 ± 0.02	-18.2	j,k

^{*a*} Blagorodnova et al. (2017), ^{*b*} Brown et al. (2017), ^{*c*}Holoien et al. (2016a), ^{*d*}van Velzen et al. (2016), ^{*e*}Holoien et al. (2014), ^{*f*}Arcavi et al. (2014), ^{*s*}Hung et al. (2017), ^{*h*}van Velzen et al. (2011), ^{*i*}Gezari et al. (2012), ^{*j*}Gezari et al. (2009), ^{*k*}Gezari et al. (2006).

4.4.4 Photometric evolution

In Fig. 7(d), we plot the decay rate from the peak of the light curve as a function of M_{BH} . Because of the heterogeneity of the available data, we use the best sampled light curve, which is either the *Swift* NUV filter or the optical *r* or *g* filters. The temperature evolution is observed to be near constant during the evolution of the flares (Hung et al. 2017). This means that the choice of filter should not impact these measurements significantly. The slope and its associated uncertainty are estimated using the standard formalism of linear regression. Although this may not be the model that best fits the data, it ensures that we can obtain a homogeneous set of measurements for all events. We also correct for the effect of time dilation in the observer's frame by scaling the measured decay rates with (1 + z) to obtain the decay rates in the rest frame of the host galaxies (Weinberg 1972; Blondin et al. 2008).

The lowest mass BH (iPTF–16fnl) hosted the fastest decaying TDE (see Blagorodnova et al. 2017), and the most massive BH (D3–13) has the slowest decay time-scale. The qualitative trend of a faster decay time-scale with lower BH mass as observed here is predicted by theory from the assumption that the peak optical luminosity traces only the peak mass fallback rate, which scales as $\dot{M}_{\rm peak} \propto M_{\rm BH}^{-1/2}$ (Rees 1988) and is plotted as a dashed line to guide the eye (note that this is not a fit to the data). However, the actual mechanism producing the optical emission is unknown and therefore it is unclear if a tight correlation should be expected. Other parameters such as the depth of the encounter (e.g. Dai et al. 2015), the properties of the star (Lodato, King & Pringle 2009; Guillochon & Ramirez-Ruiz 2013) or the spin of the BH (Kesden 2012) may all influence the photometric evolution of the flare.

4.5 The blackbody emission mechanism

We use the blackbody temperatures and luminosities to estimate the blackbody radius where the emission is produced. If no uncertainty on the blackbody temperature is given in the literature, we assume it to be 10 per cent, similar to observed values (Table 3). Uncertainties

for the blackbody radius are obtained by standard error propagation, and do not include systematic errors. Because we have accurate constraints on the BH masses, we investigate whether the estimated blackbody radii can discriminate between two current theoretical models for the optical emission.

We consider a model where the emission arises directly from a compact accretion disc, which forms at $\sim 2 \times R_p$ (e.g. Phinney 1989). Alternatively, we consider a class of models where the power source of the flare is dissipation of orbital energy in the circularization process (Lodato 2012), and the blackbody emission originates in shocks at the stream self-intersection radius (Piran et al. 2015). Stream self-intersection is caused by general relativistic apsidal precession, and scales steeply with the ratio of R_p to the gravitational radius $R_g = GM_{\rm BH}/c^2$. For this reason, Dai et al. (2015) argue that shallow encounters (at low $\beta = R_T/R_p$, the penetration factor of the fatal orbit) circularize relatively far from the BH, leading to optical/UV emission, while high β encounters produce X-ray TDEs.

We estimate the self-intersection radius $R_{\rm SI}$ by considering the orbits of test particles around an SMBH. Averaged over one orbit, general relativistic apsidal precession causes the argument of pericentre ω to advance by an amount

$$\delta\omega = A_{\rm S} - 2A_{\rm J}\cos\iota,\tag{5}$$

at leading post-Newtonian order. In this equation, the contributions to apsidal precession from the BH mass and spin-induced frame dragging are A_S and A_J , respectively, and are given by (Merritt et al. 2010)

$$A_{\rm S} = \frac{6\pi}{c^2} \frac{GM_{\rm BH}}{R_{\rm p}(1+e)} \approx 11.5^{\circ} \left(\frac{\tilde{R}_{\rm p}}{47.1}\right)^{-1}$$
(6)

$$A_{\rm J} = \frac{4\pi a_{\rm BH}}{c^3} \left(\frac{GM_{\rm BH}}{R_{\rm p}(1+e)}\right)^{3/2} \approx 0.788^\circ \left(\frac{\tilde{R}_{\rm p}}{47.1}\right)^{-3/2} a_{\rm BH}.$$
 (7)

In the above equations, the orbital pericentre, eccentricity and inclination (with respect to the BH equatorial plane) are R_p , e and ι ,



Figure 8. Blackbody radius as a function of M_{BH} . Overplotted are different models for the origin of the blackbody emission for various β values. The dotted lines represent a compact accretion disc at $2 \times R_p$. The solid lines represent the stream self-intersection radius of a non-spinning BH. All curves are for tidal disruptions of solar type stars. The shaded regions illustrate the effect of increasing BH spin on the self-intersection radius. These regions are plotted out to a maximum M_{BH} corresponding to the Hills mass for a retrograde equatorial TDE around a BH with dimensionless spin parameter $a_{BH} = 0.9$. Somewhat larger SMBHs can still tidally disrupt solar type stars, but our post-Newtonian predictions for the self-intersection radius would not be trustworthy for the most relativistic TDEs. The dashed grey line is the semimajor axis of the most tightly bound debris stream.

respectively. The BH possesses a mass $M_{\rm BH}$ and a spin $a_{\rm BH}$. Likewise, $\tilde{R}_{\rm p}$ is the orbital pericentre normalized by the gravitational radius $R_{\rm g}$, and $\tilde{R}_{\rm p} = 47.1$ for a 10⁶ M_{\odot} SMBH. The approximate equalities on the right assume highly eccentric orbits (1 + $e \approx 2$).

We now limit ourselves to the case of coplanar orbits, i.e. we assume that the orbital plane of the star is perpendicular to the spin axis of the BH. If we assume apsidal precession occurs impulsively at pericentre, we find that the debris stream will self-intersect at a distance (Dai et al. 2015)

$$R_{\rm SI} = \frac{R_{\rm p}(1+e)}{1+e\cos(\pi+\delta\omega/2)}.$$
(8)

Stream self-intersection may be greatly complicated by inclined orbits undergoing nodal precession (Guillochon & Ramirez-Ruiz 2015; Hayasaki, Stone & Loeb 2016), but this is primarily due to small vertical offsets between debris streams; the projected radius of self-intersection will not deviate greatly from equation (8) unless $\tilde{R}_p \sim 1$. In computing the depth β of each encounter, we take the tidal radius $R_T \equiv R_*(M_{BH}/M_*)^{1/3}$. Here, M_* and R_* are the mass and radius of the victim star, respectively, and we assume the lower main sequence relationship $R_* \propto M_*^{0.8}$ (Kippenhahn & Weigert 1990).

In Fig. 8, we show the expected emission region in the case of the compact accretion disc model (dotted lines), while the solid (dot–dashed) lines represent Schwarzschild (Kerr) stream self-intersection radii. The shaded areas illustrate the effect of increasing BH spin ($a_{\rm BH}$), while the different colours represent different impact parameters, with $\beta \approx 1$ being the most common type of event (Stone & Metzger 2016). The shaded areas below the solid lines represent retrograde spin values ($a_{\rm BH} \leq 0$), while the area above the solid line corresponds to prograde spins ($a_{\rm BH} \geq 0$). A retrograde spin increases the amount of apsidal precession, which decreases the stream self-intersection radius (Dai et al. 2015). Conversely, a prograde spin diminishes the apsidal precession, forcing a self-



Figure 9. Similar to Fig. 8, but now illustrating the effect of varying stellar structure with the mass of the disrupted star on the stream self-intersection radius. We show the self-intersection radii of a $0.1 \, M_{\odot}$ star (dashed coloured lines), a $1 \, M_{\odot}$ star (solid lines) and everything in between.

intersection at larger radius. Our mass and radius measurements are overplotted as black dots.

The dotted lines in Fig. 9 are the same as in Fig. 8, while the dashed and solid lines illustrate the effect of stellar mass; here, the mass of the disrupted star is $M_{\star} = 0.1 \, \mathrm{M}_{\odot}$ and $M_{\star} = 1 \, \mathrm{M}_{\odot}$, respectively. In this case, we have assumed a nonspinning (Schwarzschild) BH.

Our inferred blackbody radii, which can be interpreted as the location from which the blackbody emission (at peak brightness) originates, are consistent with the self-intersection radius of shallow impact encounters ($\beta \sim 1-2$), regardless of the BH spin or mass of the disrupted star. A scenario involving an accretion disc that extends to a few tens of gravitational radii from the BH can be ruled out as the origin of the blackbody luminosity at peak brightness by our measurements. It is clear from Figs 8 and 9 that the stream self-intersection radius (at fixed $M_{\rm BH}$) is more sensitive to the mass of the disrupted star than it is to increasing BH spin. While the degeneracy between $a_{\rm BH}$ and M_{\star} precludes us from inferring the specific combination of BH spin, impact parameter and stellar mass of the TDEs in our sample, it does allow us to conclude that the most likely region of origin for the blackbody emission for all optical/UV TDEs is at the stream self-intersection radius of low β encounters, lending empirical support to the stream-stream collision model for the power source of optical TDEs at peak brightness. However, we note that – while this data is deeply inconsistent with simple models of compact accretion disc - accretion-powered reprocessing models may still be able to explain the observed optical photospheres provided that the reprocessing layer is formed near the stream self-intersection point. The circularization process is still poorly understood, but our results suggest that accretion-powered reprocessing models will only remain viable explanations for TDE optical emission if debris circularization naturally produces optically thick photospheres on self-intersection scales.

The shock-powered model of Piran et al. (2015) predicts that for a circularization-powered flare the peak luminosity should depend only weakly on $M_{\rm BH}$, in agreement with our observations (Fig. 7b). This model also naturally explains the shrinking of the observed blackbody radius over time (Hung et al. 2017) as an inward drift of the shock after debris that has passed through pericentre settles into more circular orbits (Piran et al. 2015). However, we do not find a clear correlation between the blackbody temperature and BH mass as predicted by the same model. It is important to keep in mind that the precise value of the stream self-intersection radius depends on the combination of parameters β , $a_{\rm BH}$ and mass of the disrupted star. We note that a complete disruption requires $\beta \gtrsim 1.85$ for low-mass stars, and $\beta \gtrsim 0.95$ for Sun-like stars (Guillochon & Ramirez-Ruiz 2013). Although all the sources in Fig. 9 are consistent with this criterion, the figure suggests that some TDEs are due to low β encounters of stars near the high-mass end of the stellar mass function ($M_{\star} \approx 1 \text{ M}_{\odot}$) rather than due to 0.3 M $_{\odot}$ stars, as expected from the initial mass function (Kochanek 2016). It is unclear if a selection bias in the current TDE sample could cause this tension. On the other hand, we remark that a non-zero, prograde BH spin can increase the self-intersection radius at given β and disrupted stellar mass. We speculate that the discrepancy could decrease if some of the SMBHs in our sample have non-zero prograde spins.

4.6 Implications for the TDE rate

Based on theoretical arguments, it has been proposed that the rate of TDEs should be dominated by the lowest mass galaxies hosting BHs (Magorrian & Tremaine 1999; Wang & Merritt 2004; Stone & Metzger 2016). It is unclear how this theoretical TDE rate translates into a *observed* TDE rate. At present, there is a strong tension between the observed ($\sim 10^{-5}$ Mpc⁻³ yr⁻¹; e.g. Donley et al. 2002; van Velzen & Farrar 2014; Holoien et al. 2016a) and theoretical ($\sim 10^{-4}$ Mpc⁻³ yr⁻¹; e.g. Magorrian & Tremaine 1999; Wang & Merritt 2004) TDE rates. Stone & Metzger (2016) study the effect of a number of parameters and assumptions that go into the theoretical and observational rate calculations, and conclude that there is no straightforward way to bring the two closer together.

Our mass distribution (Fig. 6) shows that the observations qualitatively agree with the theoretical expectation that the sample of optical TDEs should be dominated by disruptions in galaxies hosting low-mass ($\sim 10^6$ M_{\odot}) BHs (see e.g. fig. 6 in Kochanek 2016). The fact that we observe TDEs in lower mass BHs than previously assumed has important consequences for the inferred TDE rate. In particular, there are a number of physical mechanisms that can act to reduce the TDE luminosity (and thus observed rate) for BH masses below $\sim 10^{6.5}$ M_{\odot}. For example, Guillochon & Ramirez-Ruiz (2015) argue that inefficient circularization affects the TDE energy output for $M_{\rm BH} \le 10^6 \, {\rm M_{\odot}}$, while Metzger & Stone (2016) suggest that adiabatic losses in a slow and dense outflow may reduce the blackbody luminosity of TDEs around $10^6 M_{\odot}$ BHs. However, our work illustrates that the current TDE sample is dominated by ${\sim}10^6~M_{\odot}$ BHs and contains several BHs with lower masses. Therefore, the current rate estimates apply to this low-mass regime and cannot be invoked to explain the discrepant TDE rates. In other words, we find the possibility of a hidden population of TDEs around low-mass ($10^{5-6} M_{\odot}$) BHs as an explanation for the rate discrepancy unlikely. Moreover, his is further supported by the fact that we do not observe a strong correlation between the TDE peak luminosity and BH mass, which implies that any selection effect due to the low volume probed by TDEs around low-mass BHs does not significantly affect the current sample (at least down to $M_{\rm BH} \sim 10^6 {\rm M}_{\odot}$).

4.7 Intermediate-mass BHs

Our TDE-selected host galaxy sample suggests that there is a large, hidden population of low-mass BHs lying dormant in the centres of galaxies. Low-mass BHs are notoriously hard to find, even when they accrete from a steady reservoir of gas. Some searches exploit the short time-scales of X-ray variability to separate low- from highmass BHs (e.g. Greene & Ho 2007). Alternatively, scaling relations based on optical emission lines (Kauffmann et al. 2003) or virial based techniques can be used to estimate $M_{\rm BH}$ in active galaxies (Reines, Greene & Geha 2013). Kauffmann et al. (2003) show that the AGN fraction in low-mass galaxies in the local Universe ($0.02 \le z \le 0.3$) does not rise above a few per cent, while Gallo et al. (2010) find that the AGN fraction decreases with increasing SMBH mass. The large majority (\ge 95 per cent) of BHs in low-mass galaxies are therefore currently hidden from our view, and TDEs can be a powerful tool to find and study the demographics of low-mass galaxies and their low-mass central SMBHs.

If the mass distribution of our sample of TDE hosts is representative for the population of all optical/UV TDE host galaxies, this holds exciting prospects for finding intermediate-mass BHs in the local Universe. In the near future, optical surveys such as performed by the Zwicky Transient Factory (ZTF), Gaia and the Large Sky Synoptic Telescope (LSST) are expected to uncover thousands of TDEs and thus large numbers of low-mass BHs. This can open up a new avenue for the systematic study of IMBH formation and evolution, and the galaxies in which they reside. Using TDEs as an independent probe for BHs in low-mass galaxies, mass measurements on this future sample of TDE host BHs will shed light on the validity of the $M-\sigma$ relation at the low end (see Fig. 5), and will help constrain the BH occupation fraction at the low-mass end. The existence and masses of IMBHs in low-mass galaxies are an important tool to differentiate between SMBH formation scenarios (e.g. Volonteri, Lodato & Natarajan 2008), and can enable the study of the main mechanisms for low-mass SMBH growth and evolution as well as their formation. For example, different seed models leave different (and observable) imprints on the current (z = 0) MBH mass function (Volonteri 2010).

5 CONCLUSIONS

We present the first systematic BH mass measurements for a sample of TDE host galaxies in the Northern sky using the $M-\sigma$ relation. Our host galaxy sample of optically/UV selected TDEs encompasses 12 sources, and is complete down to $g_{\rm host} = 22$ mag, spanning a redshift range between 0.016 and 0.37. We use medium resolution spectroscopic observations in combination with the PPXF routine to extract the line-of-sight velocity distributions, and in particular, the velocity dispersions. Care is taken to correct for the instrumental broadening, and we study the effect of using the luminosity-weighted LOSVD as a proxy for the central velocity dispersion. We find that the luminosity-weighted LOSVD agrees well with the central velocity dispersions.

Using the $M-\sigma$ relation from Ferrarese & Ford (2005) we convert the velocity dispersion measurements into BH masses. Our galaxies host BHs with masses ranging between $3 \times 10^5 \text{ M}_{\odot} \leq M_{\text{BH}} \leq 2 \times 10^7 \text{ M}_{\odot}$. Our mass distribution agrees with theoretical estimates; the optical TDE population is dominated by low-mass ($\sim 10^6 \text{ M}_{\odot}$) BHs. We find suggestive evidence for a correlation between the BH mass and redshift, which is expected for a flux-limited sample. Furthermore our observations reveal tentative evidence for a correlation between the photometric evolution time-scale (decay rate) and the mass of BH: TDEs around lower mass BHs evolve faster. We note that these correlations are not statistically significant, potentially due to both the uncertainties on the observables and the small sample size. The blackbody emission of our sources is consistent with being at the Eddington limit at peak brightness, except for the two sources with $M_{\text{BH}} \geq 10^{7.1} \text{ M}_{\odot}$ for which the Eddington ratio is \leq 0.1. These properties corroborate the standard TDE picture as a satisfactory explanation for these events.

Regarding the origin of the blackbody emission, we compare the blackbody radii of the flares with models proposed to explain the origin of the emission, including a compact accretion disc and shocks due to stream self-intersections. We find that the emission region at peak brightness is located more than ~100 R_g from the BHs and is consistent with the stream self-intersection radius of disruptions at low $\beta \sim 1-2$. This rules out a compact accretion disc as the direct origin of the blackbody emission, and suggests that at peak luminosity, TDEs are powered by shocks due to stream-stream collisions rather than directly by accretion power.

Finally, our finding that TDEs frequently occur in low-mass (~10⁶ M_☉) BHs implies a worsening of the rate discrepancy between theoretical and observational rates. This follows by noting that several mechanisms predict a lower flare brightness for TDEs in low-mass $\leq 10^{6.5}$ M_☉ BHs, while our observations show that the current TDE sample is dominated by such events. This may not be true if the currently observed TDE rate is only a small fraction of the true TDE rate (e.g. due to other selection effects).

Our results suggest that there is a large population of dormant, low-mass BHs hidden at the centres of local galaxies. TDEs could provide an opportunity to uncover this population through (near-) future time domain surveys, which are expected to find thousands of TDEs per year. The sample of TDE host galaxies may be useful to constrain the properties of low-mass BHs, as well as the formation channels and dominant growth and feeding mechanisms of SMBHs.

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